



Tax Self-Certification and Substitute Form W-9

To ensure we have up-to-date and accurate information for you, we need you to self-certify your country or countries of tax residence so we can comply with international tax reporting laws. This includes the Common Reporting Standard (CRS) and Foreign Account Tax Compliance Act (FATCA) and U.S. tax requirements. Your account details will be subject to reporting under applicable tax laws and may be shared with tax authorities where you're a resident. If we don't hold accurate tax residence details for you, your country of tax residence or the HMRC may issue a penalty.

Please be aware that Chase cannot provide tax advice. You may wish to consult a professional tax adviser or local tax authority if you have questions about your tax residency. Please complete the information in the sections below, and return the form to us.

Completing this form

When completing this form, please use a black ballpoint pen and write in capital letters.

How to send us your documents

Using the app (fastest option):

1. To upload the document, head to 'Support'
2. Search 'tax residence' and scroll down the result page to find the 'Contact us' option
3. Choose the 'Message us' option and tap the paperclip icon to upload your photo or document, along with a short message

By post:

You can also post your updated documents to Chase UK, PO Box 17507, Edinburgh, EH12 1PX.



1. Personal details

Please add your up-to-date personal details:

Full name:

(including any middle names)

Address:

Date of birth: - -

(dd/mm/yyyy)

2. Tax residence details

Please provide your country of tax residence and Tax Identification Number (TIN) below. Please note you must be a UK tax resident to hold an account with Chase. If you have more than 1 country of tax residence then please complete the additional rows. A TIN is a combination of letters and numbers given to you from the government or the tax authorities of your country of residence. It's typically used for tax purposes. Depending on the country of tax residence, you may have a TIN or a Social Security Number (SSN). If you don't have a TIN, please add the reason why.

Country of tax residence	Tax Identification Number (TIN)	If no, please tell us why
United Kingdom	<input type="text"/>	Not applicable
<input type="text"/>	<input type="text"/>	Domestically not required
<input type="text"/>	<input type="text"/>	Domestically not required

Is the account holder a U.S. citizen, U.S. green card holder or U.S. resident?

Yes No

If so, please provide the Tax Identification Number (TIN). For example, U.S. Social Security (SSN):

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All U.S. citizens are treated as U.S. tax residents. As a U.S. tax resident, you are required to certify your TIN on this form which is a substitute IRS Form W-9. If you're no longer a U.S. citizen, we need you to complete Form W-8BEN to certify your non-U.S. status. You can find the form at <https://www.irs.gov/forms-pubs/about-form-w-8-ben>. Just so you know, we may follow up asking for other documents to validate your completed form W-8BEN.

3. Declaration

- I declare that the information provided in this form is accurate and complete to the best of my knowledge.
- I will notify Chase immediately of any changes that make this information incorrect or incomplete, and provide an updated declaration within 30 days.
- I confirm that I have the authority to sign on behalf of the account holder identified in Part 1 of this form.
- I understand that Chase may be required to share account information with tax authorities, who may exchange this information with other countries where the account holder is a tax resident, in accordance with international agreements.

If certifying your status as a U.S. citizen or tax resident, the following applies. Under penalties of perjury, I certify that:

- The number shown above is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because:
 - I am exempt from backup withholding, or
 - I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or
 - The IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined in the IRS Form W-9 instructions).
- The FATCA code entered on this form (if any) indicating that I am exempt from FATCA reporting is correct (Not Applicable).

You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature:

Full name:

- -

Date signed: (dd/mm/yyyy)

Thank you for your cooperation.